

10-16-00

**NEW UTILITY PATENT APPLICATION TRANSMITTAL***(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))*

Docket No.

19529-037

Total pages in this  
submission**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application  
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SYSTEM AND METHOD FOR DELIVERING INFORMATION TO A TRANSMITTING AND RECEIVING DEVICE (As Amended)**

And invented by:

**RICHARD J. HELFERICH****IF A CONTINUATION APPLICATION**, check appropriate box and supply requisite information:☐ Continuation ☒ Divisional☐ Continuation-in-part (CIP) of prior application No.: 08/933,344

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 61 pages(s) and including the following:
  - a. ☒ Descriptive title of the invention
  - b. ☒ Cross references to related applications (*if applicable*)
  - c. ☐ Statement regarding Federally-sponsored research/development (*if applicable*)
  - d. ☐ Reference to microfiche appendix (*if applicable*)
  - e. ☒ Background of the invention
  - f. ☒ Brief summary of the invention
  - g. ☒ Brief description of the drawings (*if drawings filed*)
  - h. ☒ Detailed description
  - i. ☒ Claims as classified below
  - j. ☒ Abstract of the disclosure

### **Application Elements (continued)**

3. ☒ Drawing(s) (when necessary as prescribed by 35 U.S.C. 113)
- ☒ Formal ☐ Informal Number of sheets: 12
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d) (for continuation/divisional applications only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
5. ☒ Incorporation by reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is Supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer program in microfiche
7. ☐ Genetic sequence submission (if applicable, all must be included)
- a. ☐ Paper copy
- b. ☐ Computer readable copy
- c. ☐ Statement verifying identical paper and computer readable copies

### Accompanying Application

8. ☐ Assignment papers (cover sheet & document(s))
9. ☐ 37 C.F.R. 3.73(b) statement (when there is an assignee)
10. ☐ English translation document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certified copy of priority document(s) (if foreign priority is claimed)
15. ☐ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Label No.: EL542221770US )
16. ☒ Small Entity statement(s) -- # submitted 1 (copy) (if Small Entity status claimed)

Figure 1: A schematic diagram of a 1D lattice chain with  $N$  sites. The chain is represented by a horizontal line with  $N$  discrete sites. The leftmost site is labeled '1' and the rightmost site is labeled 'N'. The sites are connected by horizontal bonds. Above the chain, there are labels for the sites: '1', '2', '3', '4', '5', '6', '7', '8', '9', '10', '11', '12', '13', '14', '15', '16', '17', '18', '19', '20', '21', '22', '23', '24', '25', '26', '27', '28', '29', '30', '31', '32', '33', '34', '35', '36', '37', '38', '39', '40', '41', '42', '43', '44', '45', '46', '47', '48', '49', '50', '51', '52', '53', '54', '55', '56', '57', '58', '59', '60', '61', '62', '63', '64', '65', '66', '67', '68', '69', '70', '71', '72', '73', '74', '75', '76', '77', '78', '79', '80', '81', '82', '83', '84', '85', '86', '87', '88', '89', '90', '91', '92', '93', '94', '95', '96', '97', '98', '99', '100'. Below the chain, there are labels for the sites: '1', '2', '3', '4', '5', '6', '7', '8', '9', '10', '11', '12', '13', '14', '15', '16', '17', '18', '19', '20', '21', '22', '23', '24', '25', '26', '27', '28', '29', '30', '31', '32', '33', '34', '35', '36', '37', '38', '39', '40', '41', '42', '43', '44', '45', '46', '47', '48', '49', '50', '51', '52', '53', '54', '55', '56', '57', '58', '59', '60', '61', '62', '63', '64', '65', '66', '67', '68', '69', '70', '71', '72', '73', '74', '75', '76', '77', '78', '79', '80', '81', '82', '83', '84', '85', '86', '87', '88', '89', '90', '91', '92', '93', '94', '95', '96', '97', '98', '99', '100'. The chain is labeled '1D Lattice Chain' at the bottom.

17. ☒ Additional enclosures (*please identify below*):

### Fee Calculation and Transmittal

☐ Large Entity

☒ Small Entity

CLAIMS AS FILED						
For	# Filed	# Allowed	# Extra	Rate		Fee
Total Claims	70	- 20 =	50	x	\$9.00	\$450.00
Independent Claims	24	- 3 =	21	x	\$40.00	\$840.00
Multiple Dependent Claims (check if applicable)				<input type="checkbox"/>		
Other Fees (specify purpose):						
					BASIC FEE	\$355.00
					TOTAL FILING FEE	\$1,645.00

☒ A check in the amount of \$1,645.00 to cover the total filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and Deposit Account No. 50-1553 as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of \_\_\_\_\_ as filing fee.

☒ Credit any overpayment.

☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.31(b).

Dated: October 13, 2000

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